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# Thermoplastic polyurethane composites for railways applications: experimental and numerical study of hybrid laminates with improved impact resistance

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**Keywords:** composite laminate, hybrids, CFRP, TPU, flying ballast, impact energy.

## Abstract

*Due to the introduction of highly restrictive safety and pollution legislations in the railways industry, weight reduction has become an increasingly important topic over the last decade. Carbon Fibre Reinforced Polymer (CFRP) constitute an excellent alternative to traditional materials, due to their high specific in-plane mechanical properties. Their use in railways industry, however, is currently hindered by their weak out-of-plane properties. Bogies and under-frames are often subjected to impact loadings caused by objects and debris surrounding the tracks (i.e. ice, ballast) that become airborne during the train transit and impact lower-part of the carriage. While metal structures absorb impact energy via plastic deformation, in CFRP Barely Visible Impact Damage (BVID) can occur, weakening the component, and often lead to catastrophic failures.*

*This work proposes a method for improvement of impact absorption performance of railways composite structures via the addition of a Thermoplastic Polyurethane (TPU) coating to CFRP laminates. The thermo-mechanical behaviour of the thermoplastic layer was investigated Differential Scanning Calorimetry (DSC) and Dynamic Mechanical Analysis (DMA) analyses to optimise the manufacturing process, while damping tests were carried out to demonstrate its unaltered energy absorption ability in the final manufactured structure. TPU/CFRP plates (150 mm x 100 mm of in-plane size) were subjected to 2, 3 and 5J impacts and the results were compared with traditional CFRP laminates. Non-Destructive (i.e. C-Scan, Phased Array) and Compression-After-Impact tests were carried out on the impacted samples to assess the damaged area and residual in-plane mechanical properties. Results show that the TPU layer modifies the energy absorption mechanism, preventing the propagation of damage within the CFRP and resulting in undamaged samples even at the highest energy. In order to predict the TPU/CFRP impact behaviour and identify the best process parameters to optimise impact energy absorption, a Finite Element model was developed and validated using experimental data. The comparison showed good correlation, and a fine approximation of the different impact mechanisms was observed with a maximum error of 5% between experimental and simulated output values. The experimental and numerical results, show that the TPU/CFRP laminates constitute a novel solution for the manufacturing of lighter and safer railways composite structures.*

**Keywords:** Thermoplastic, impact, damage, FEA, TPU

## 1. Introduction

Over the last twenty years, the mass of rail vehicles has increased by more than 35% due to the technological improvements of structures, subsystems and apparatus necessary to comply with market demands and higher safety requirements (CEN-EN 12663, EN 15227). Indeed, increased comfort requirements pushed manufacturers to install on rail vehicles more complex facilities, such as air conditioning systems, noise and vibration insulations and media devices, in order to improve the quality of the journey. At the same time, as consequence of safety legislation strengthening, railways vehicles became more complex and several mechanical systems, such as brakes and crash boxes, increased their size and weight to satisfy higher performance demands. Heavier trains require greater drive power and therefore railways industry developed larger and heavier motors (electric or diesel) which, in turn, need stiffer and more resistant bogies to withstand stresses caused by larger dynamic solicitations. In addition, higher velocity demanded structural changes in structures such as windows, doors and front cabs, causing an additional weight component for the entire rolling stock due to higher stiffness and more complex geometries necessary to comply with the standards.

All these additional systems and the increased mass of structural component negatively affected the economy of railways industry, leading to a rise in production costs, higher energy consumption, larger amount of CO<sub>2</sub> emissions and greater track wear.

In this context, railway systems require a new technological approach to fabricate light-weight structures for train vehicles, also considering that several railways track providers, such as UK Network Rail, set up their network usage contract charges on the mass of the vehicles to reduce the track consumption and renewal costs (3).

In order to meet the low mass requirement, the use of composites materials in railways structures and components can represent an excellent solution to reduce the global weight of the train structure while keeping the same mechanical properties in terms of stiffness and strength.

In general, major mass distribution in rail vehicles, as stated by Euro Transport Consult (4), is located in motor bogies, motors and drives (22%), car-body (21%), interiors (17%) and trailer bogies (15%). Therefore it is clear that by using composites materials in primary structures, not only it is possible to reduce weight by 20-40% (5) but also lower maintenance costs also due to the higher corrosion resistance typical of composite materials (6,7). Lighter car bodies would also need less power and braking equipment, leading to a reduction of the rotating masses, with an overall mass reduction considering that a traditional high-speed train brake disc weighs around 100 kg (8).

70 Composite materials in railways have been already used for interiors applications (seats, panels and  
71 secondary structures) but only in recent times, some structural applications have been proposed such  
72 as a the Glass Fibre Reinforced Polymer (GFRP) bogie realized and tested by Goo et al. under  
73 different loading conditions (9), and the front cab sandwich shock absorber designed and simulated  
74 numerically by Grasso et al. (10). By applying fibre-reinforced polymers in the primary structures  
75 Siemens developed a light-weight train, reducing the mass per seat value by 34% (357 kg) compared  
76 to the previous generation trains (4). Heller et al. manufactured a hybrid body railway vehicle using  
77 GFRP sandwich composites for both sidewall and ceiling panels, achieving a mass reduction of  
78 almost 20% in comparison with a traditional stainless steel body (11). Similarly, CG Rail recently  
79 produced a rail vehicle made in large part (around 70%) of composite components, developing a  
80 manufacturing process capable of producing large CFRP profiles with wall thickness up to 25 mm.  
81 The total mass reduction in comparison with an aluminium car body vehicle is almost 30%, due to a  
82 90% CFRP content in main large structures such as front cab and under-floor panelling (12).

83  
84 Although the studies mentioned above prove that composite structures are making some headway in  
85 the railways industry, one question that still needs to be asked, however, is whether composite  
86 components would be able to fully substitute traditional metal structures despite their weak resistance  
87 to loads in the out of plane direction. Indeed, it is well known from the aerospace sector that  
88 mechanical properties detriment caused by impact events (13) is the principal critical limitation to  
89 the implementation of the composites in primary load-bearing structures. Referring to the specific  
90 case of railways industry, Onder et al. (14) assessed the damage caused by impact loadings on E-  
91 glass/polyester laminate structures and pointed out the effects of impacts at four velocity levels (40  
92 m/s, 70 m/s, 100 m/s, 130 m/s). Goo et al. (9) evaluated the structural integrity of a bogie frame, made  
93 of glass/fibre epoxy 4-harness laminate subjected to impacts at three different energy levels (5, 10  
94 and 20 J), showing a detriment of residual properties by almost 18%, with increased damage area at  
95 higher energy levels and for sharper edges of the impacting object.

96  
97 The issue of impact resistance is of fundamental importance for railways components due to flying  
98 ballast projections (14), a phenomenon for which general objects such as debris, leaves and ice  
99 become airborne due to aerodynamic and mechanical causes, and impact the bottom portion of the  
100 vehicle in transit causing damage. These impacts, generally characterised by a minimum energy level  
101 of around 5 J (9), can generate Barely Visible Impact Damage (BVID) into composite components,  
102 leading to a detriment of mechanical properties in terms of strength and stiffness (15–17) that can

103 result in unexpected failure of the component, exposing the entire structure and passengers to serious  
104 dangers.

105

106 Hence, to fully exploit the intrinsic lightness of composite materials within the railways sector, new  
107 approaches are constantly investigated to enhance their out-of-plane properties without losing the  
108 desired in-plane characteristics. Riccio et al. demonstrated the effectiveness of optimising the  
109 laminate layup and skin thickness in order to minimise the delaminated area during composite failure  
110 and improve its resistance against out-of-plane dynamic loading (18). In addition, the same authors  
111 investigated impact resistance improvement of composite panels via the use of selective stitching to  
112 prevent skin-stringer debonding showing superior mechanical properties in comparison with  
113 reference (19). Rechack et al. inserted adhesive layers between cross-ply graphite/epoxy laminates  
114 and found that the polyamide-epoxy adhesives are able to toughen the interfaces between laminae  
115 increasing absorbed energy due to increased contact area (+40%) and reducing matrix cracking (20).  
116 Siegfried et al. studied the effect of carbon nanotubes on woven carbonfiber/epoxy composites and  
117 evaluated that CNT improved Mode II interlaminar fracture energy (+22% compared with the  
118 reference material) and damage tolerance of composite but they also increased their sensitivity to the  
119 onset of matrix cracks with a larger delamination area after impact (21). Ruggeri et al. demonstrated  
120 a significant increase of impact damage tolerance for composite fan blades applying thermoplastic  
121 polyurethane (TPU) interleave layers, observing no delamination in the interleaved region (22).  
122 Following a similar approach, Martone et al. developed a damping behaviour model for thermoplastic  
123 polyurethane interleaved composite and reported an improvement in terms of dynamic reponse  
124 towards LVI events when the interleave layers are inserted symmetrically within the laminate's  
125 stacking sequence (23). Russo et al. instead, proposed a woven glass fibre/thermoplastic polyurethane  
126 composite that showed no delamination after impact (even at low temperatures and for thick plates)  
127 however, increasing the thickness of the laminates, the effect of the interleaved layers loses its  
128 efficiency as an increased crack initiations tendency was recorded (24).

129

130 Although these research works proved the efficiency of the TPU as a energy absorption media for  
131 composite materials, the presence of an interleaved layer tends to generate interfacial delamination  
132 and debonding issues, with an unacceptable decrement of the in-plane performances. Furthermore,  
133 manufacturing processes become more complex with the consequences of extended lamination  
134 process time and the necessity of specifically trained operators. In order to overcome these issues, a  
135 possible alternative approach is to develop a coating layer that can be laminated on the structure's  
136 surface and act as a shielding layer, protecting the laminate thanks to its viscoelastic damping

137 mechanism and high strain at failure (25) without affecting the in-plane composites properties, while  
138 also guaranteeing manufacturing time saving.

139 Similar solutions are already used in the aerospace industry, where polyurethane coatings are sprayed  
140 on the lower areas of aircraft fuselages or used as a protective layer on the landing gear panel against  
141 scratches and impact damage caused by landing strip debris (26). Another sprayed coating solution  
142 (epoxy resin reinforced with synthetic fibres) is already used in railways in order to protect bogie  
143 axles from any debris or objects and any corrosion phenomena (27). However, all these applications  
144 require skilled operators to carry out the coating operations, giving restrictive limits in terms of  
145 manufacturing and increasing the cost of the final product.

146

147 In this work, a TPU layer was introduced as a superficial layer on the impact surface of CFRP  
148 laminates to improve impact performances. A “one-step” manufacturing solution is proposed to carry  
149 out the coating operation at the same time of the consolidation of the CFRP laminae in autoclave  
150 without any added complexity to the manufacturing process.

151 Prior to the experimental campaign, an extensive material characterization for TPU material was  
152 carried out in order to verify its compatibility with the autoclave curing process and in particular to  
153 avoid any degradation or reaction with the composite’s matrix at high temperatures. Differential  
154 Scanning Calorimetry (DSC) and Dynamic Mechanical Analysis (DMA) were carried out to  
155 determine the variation of the TPU’s mechanical behaviour and its suitability for autoclave uses  
156 during both cooling and heating processes and under mechanical loads at different frequencies.

157 Once the TPU compatibility for autoclave process was verified, the effectiveness of the coating as  
158 protective layer was investigated, via estimating the damping ability of the TPU by measuring the  
159 vibrations suppression characteristics of the coated and uncoated laminates. In order to measure the  
160 improvement in the out-of-plane properties, an experimental campaign was carried by subjecting  
161 TPU-coated CFRP panels to Low Velocity Impacts (LVIs) and comparing the results with traditional  
162 CFRP laminates. Three different energy levels, 2 J, 3 J and 5 J to simulate the BVID inflicted by  
163 flying ballast and damaged areas were analyzed using non-destructive damage detection techniques  
164 (C Scan – Phased Array and CT-Scan). In order to have a deeper understanding of the phenomena  
165 involved during the impact event and to offer a cost-effective support for the future design of  
166 commercial products using TPU/CFRP composite as structural material, a 3D Finite Element  
167 Analysis (FEA) model was developed using an explicit LS-DYNA software (R10) and validated  
168 using impact data.”

169 Furthermore, using a Compression-After-Impact (CAI) test, residual mechanical properties of the  
170 impacted samples were investigated and correlated with the damaged area evaluation.

171

### 172 *1.1. Thermoplastic Polyurethane*

173 TPU is a polymer formed by linear segmented block copolymers having soft amorphous segments  
174 and hard crystalline segments (28). Soft segments are made of long flexible polyether or polyester  
175 chains while hard segments are made of diisocyanate with the addition of a chain extender (low  
176 molecular weight diol). In the polymer meso-structure, each soft segment is linked to two hard ones  
177 which are interconnected by hydrogen bonds and act as multifunctional tie points, creating a physical  
178 crosslink and reinforcing fillers. On the other hand, soft segments generate an elastomers matrix,  
179 which is responsible for the TPU elastic properties. This polymeric blend is used in several  
180 engineering applications due to its mechanical strength, low-temperature high performance and  
181 impact energy absorption and therefore it was selected as protective layer for this experimental work.

182

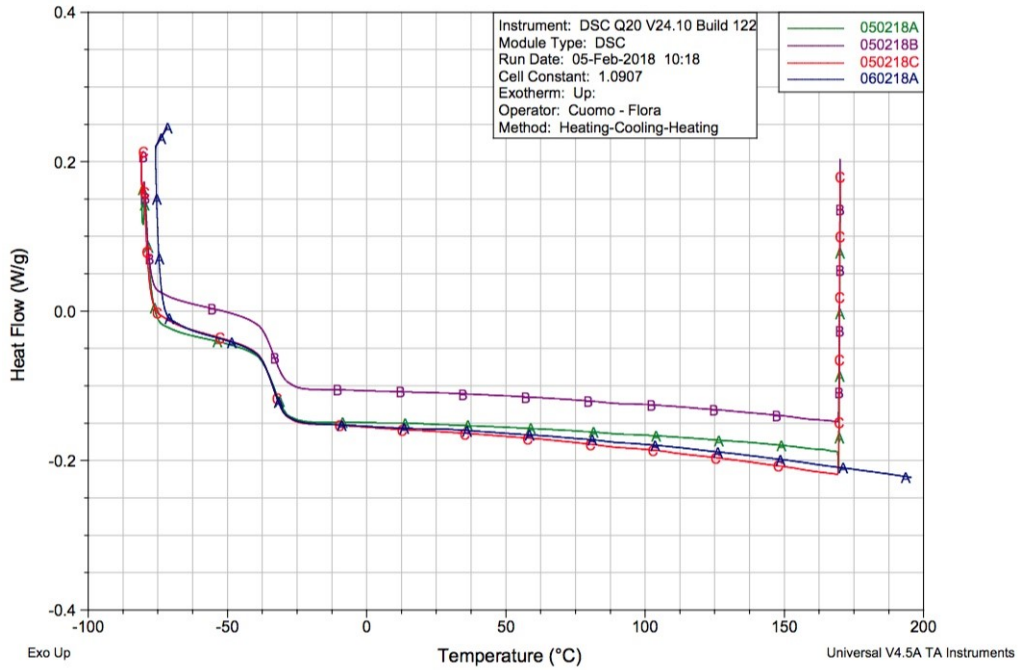
## 183 **2. Material Characterization**

184 In order to evaluate the physical and chemical properties of the TPU and its manufacturing behaviour  
185 when used as a coating on a CFRP structure, an extensive characterization campaign was carried out.  
186 In this experimental part, the thermo-mechanical behaviour of TPU was analysed using DSC and  
187 DMA in order to evaluate the TPU suitability of autoclave assisted cure procedures. DSC was used  
188 to evaluate the thermal parameters of the thermoplastic polymer such as Glass Transition ( $T_g$ ) and  
189 Melting point ( $T_m$ ), fundamental for composite-TPU cure process optimisation. DMA, instead, was  
190 carried out to confirm the invariance of the TPU mechanical behaviour at different temperatures (-50  
191 / +180 °C) and frequencies to verify compliance with the typical railways operative conditions.

192

### 193 *2.1. DSC – Differential Scanning Calorimetry*

194 A DSC Q20 (TA Instruments, New Castle, USA) was used to operate the thermal tests. Four tests  
195 were carried out on samples weighting 6-8 mg and following the same temperature programme cycle:  
196 1) heating – 2) cooling – 3) heating. Three tests reached the maximum temperature of 170 °C while  
197 the last test was heated up to 200 °C, to investigate the presence of any melting process also at  
198 temperatures above the traditional curing temperature of carbon laminates.



**Figure 1. Temperature vs Heat Flow curves obtained for the different TPU samples**

In Figure 1, DSC curves clearly show an average glass transition at  $-33.35^{\circ}\text{C}$ , demonstrating that TPU is suitable for railways applications also in climatic areas where extreme temperatures conditions are reached during winter, without any risk of transition from the rubbery to the glassy state, hence without damping performances detriment.

In addition, no melting process (absence of endothermic peak) is highlighted, even in the last run (060218A curve in Figure 1) at  $200^{\circ}\text{C}$ . Considering that melting is a typical phenomenon of the crystalline domains, this behaviour suggested that the TPU selected for this experimental campaign was completely amorphous and suitable for its use at high temperature.

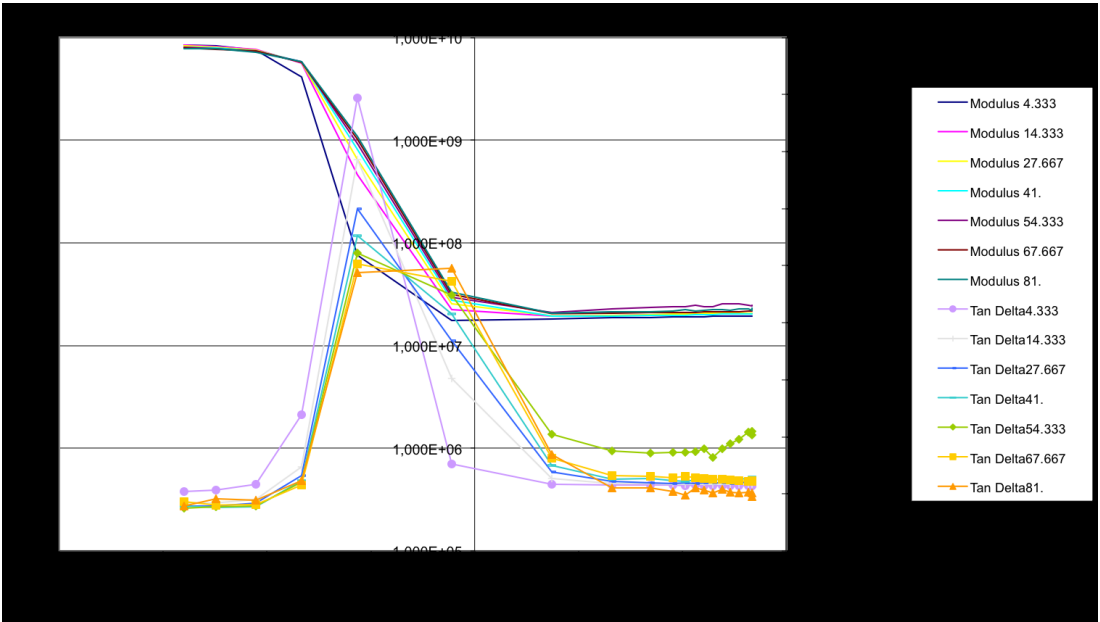
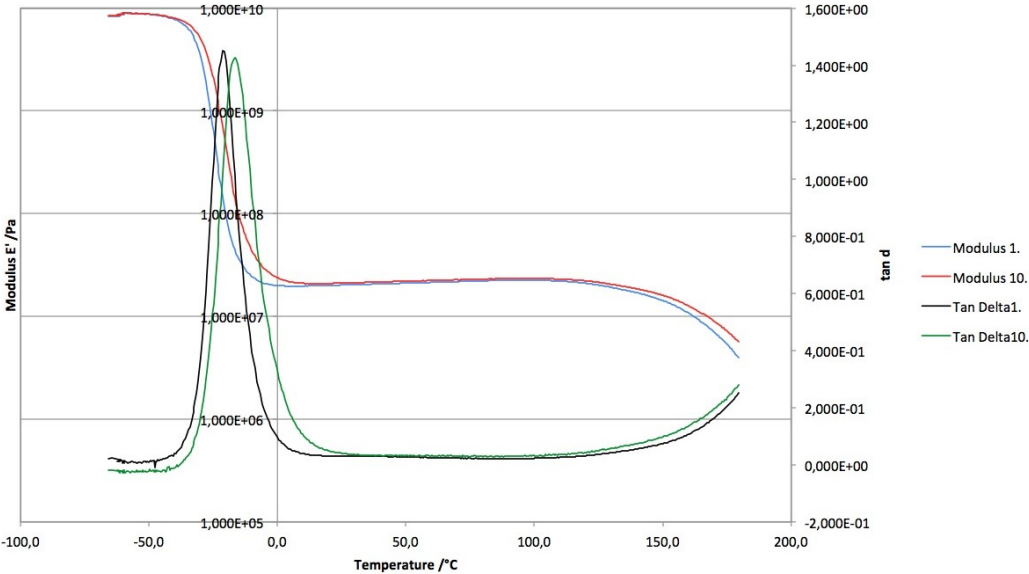
## 2.2. DMA – Dynamical Mechanical Analysis

To evaluate material response variation toward load frequency and temperature, a Tritec 2000 DMA (Tryton Technology Ltd., Leicester, UK) was used to perform Tensile/Temperature and Tensile/Temperature/Frequency scans, following the ISO 6721-11:2012 standard (29). Both these tests are necessary since in a complex system like a rail vehicle, sudden temperature changes can affect the mechanical response of specific components and possible defects in the primary structures can generate vibrations in a frequency range usually between 1 and 50 Hz. Indeed, it is worth noting that a damaged wheel running at 250 km/h with a radius of 0.5 meters, is able to generate an excitation with a frequency of 22 Hz that directly affects the railway car body.



219 In Temperature-scan the specimen was subjected to an oscillating stress, varying the temperature  
 220 from -60 to 180 °C, with two frequencies (1-10 Hz) and a heat rate of 2 °C/min. In Frequency-scan,  
 221 the max temperature was set at 100 °C, the heat rate at 2 °C/min and the frequency varied between 1  
 222 and 80 Hz. Liquid nitrogen was used as cooling medium during both tests.  
 223 It is important to underline that the setup parameters were chosen considering the operative limits of  
 224 railways defined by legislation (1-50 Hz in frequency and -25 /+45 °C as third climatic class  
 225 temperature range –EN 50125.2008), able to verify the suitability of TPU in this application.

226



227  
 228  
 229

Figure 2. Temperature Scan (top) Frequency Scan (bottom)

230 Figure 2 shows the characteristic trend of the storage modulus E' and the damping tan δ varying with  
 231 temperature and frequency. For very low temperatures, since molecular chains are tight and fixed, no

232 responses are allowed to the applied stress and there is no resonance with the sinusoidal load, due to  
233 high stiffness (glass state). As heating increases, glass transition occurs and the chains in the  
234 amorphous region start a large-scale motion, resonating with the applied load. In this phase, the  
235 storage modulus decreases by one order of magnitude and the molecular motion associated with the  
236 glass transition is time dependent. Therefore,  $T_g$  increases when heating rate increases or test  
237 frequency increases; hence, output data showed above are consistent since in the glass transition  
238 range, both  $\tan \delta$  peak and storage modulus variation, are shifted to higher temperatures as the  
239 frequency is increased from 1 to 10 Hz (Figure 2 top) and from 1 to 80 Hz (Figure 2 bottom). After  
240 glass transition, it is possible to evaluate a rubbery plateau due to the slippage of the molecular chains.  
241 When the temperature reaches the onset melting, free volume increases and the material is able to  
242 flow since the molecular chains can slide on each other. Analysing the results it is also clear that after  
243 150 °C the material starts showing signs of degradation of mechanical properties, compromising the  
244 dynamical response of the material, which becomes frequency dependent. From the Frequency scan  
245 (Figure 2 bottom) it is pointed out that, at different railways operative frequencies, TPU undergoes a  
246 variation of  $T_g$  from -30 °C to -20 °C, but considering that this value is still way below operative  
247 conditions, this means that even in harsh winter environments TPU will show no changes in terms of  
248 damping ability and elastic properties.

249  
250 Based on these results, it is possible to conclude that the use of TPU is suitable for railways  
251 applications where a broad range of different frequencies excitations affect the vehicle and his  
252 structures. However, considering that the molecular structure of the TPU will start to degrade at  
253 150°C and that the cure temperature for epoxy systems used in this study is 180°C, it would not be  
254 possible to include the TPU layer with the uncured CFRP layers in a “one-step” manufacturing  
255 procedure. To address this, a reduction of curing temperature, with a consequent increase of curing  
256 time, is necessary to guarantee the correct and complete cure of the CFRP laminate and the stability  
257 of the TPU polymeric system. The new cure time was obtained using a numerical linear interpolation  
258 (Figure 3) considering the starting temperature (180°C) and time (3h) parameters and fixing the final  
259 temperature (120°C).

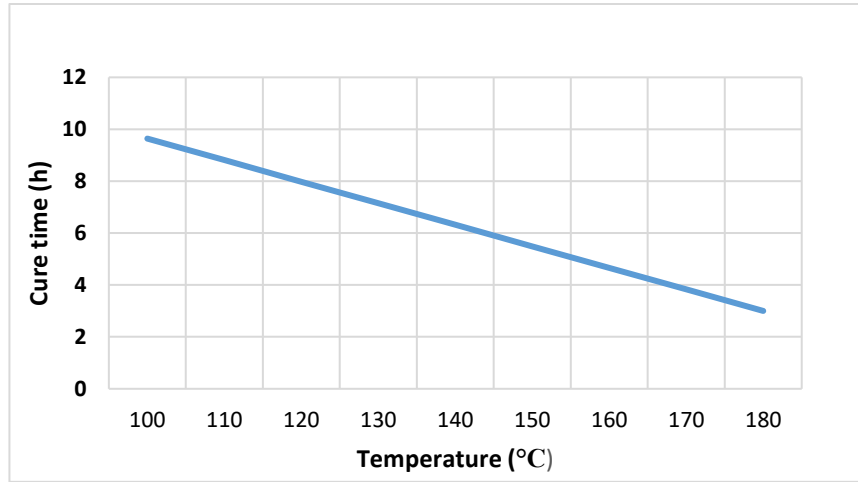


Figure 3-Interpolation curve used to estimate the cure time of the TPU-coated samples.

### 2.3. Damping Test

To evaluate the energy absorption properties of TPU/CFRP samples, damping tests were carried out. The test configuration (Figure 4) was a single cantilever beam (80 mm x 10 mm; w/l=1/8), excited with an impulsive load on the free end and the oscillations were measured by a laser transducer ( $\mu$   $\epsilon$  optoNCD T2300).

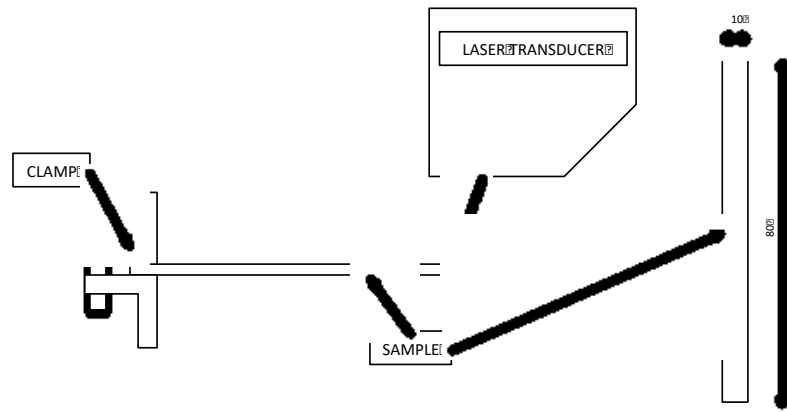
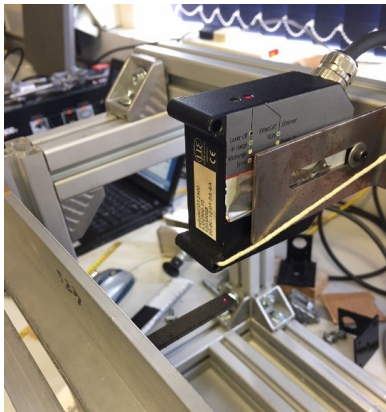


Figure 4-Damping test setup (left) and scheme (right).

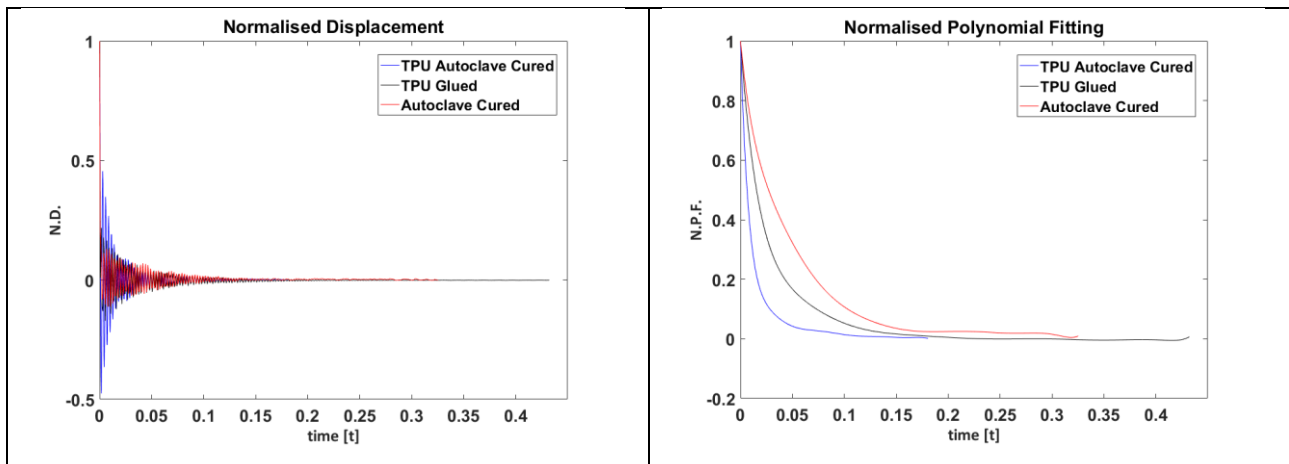
The capability of the TPU/CFRP samples to attenuate the impulsive load was evaluated with the logarithmic decrement  $\delta_{av}$ , which can be defined as:

$$\delta_{av} = \frac{1}{n} \ln \left( \frac{U_{n-1}}{U_n} \right) \quad (1)$$

where  $U_n$  is the amplitude of the oscillation.

In order to evaluate the optimal damping performances, different configurations of TPU/CFRP samples were tested.

276 The main configuration was obtained following the one-step manufacturing process, with TPU  
 277 applied simultaneously with uncured CFRP (TPU Autoclave Cured) and the results were compared  
 278 with an uncoated laminate (Autoclave Cured). Moreover, in order to analyse the effectiveness of the  
 279 proposed manufacturing process, damping tests were performed also on another configuration where  
 280 the TPU layer was applied on the surface of an already cured laminate using a traditional epoxy  
 281 adhesive (TPU Glued).



282 **Figure 5- Damping test results: Displacement (left) and Damping (right) Curves**

% variation compared to the reference (N_x)						
Type	$\delta_{av}$	Variation	Weight [g]	Variation	$\delta$ per unit of weight	Variation
Autoclave Cured	0,0557	/	2.505	/	0.0222	/
TPU Glued	0,1014	182%	3.43	+36.9%	0.0300	135%
TPU Autoclave Cured	0,2160	387%	3.378	+34.8%	0.0629	283%

283 **Table 1- Logarithmic decrement ( $\delta_{av}$ ) and weight variations for TPU Autoclave Cured and TPU Glued**  
 284 **configurations compared to Autoclave Cured (control).**

285 Analysing the logarithmic decrement evaluated for the different configurations (Figure 5), the TPU  
 286 in the CFRP laminate leads to an increase of damping properties in both one-step and glued  
 287 manufacturing procedures, showing an increase of 387% and 182% respectively, in comparison with  
 288 traditional CFRP laminates. It is important to underline, however, that the presence of the TPU layer  
 289 affects the weight of the laminate, therefore these results were rescaled evaluating the values of  $\delta$  per  
 290 unit weight (see Table 1), leading to a variation of +283% and +135% for the two configurations.

291 In conclusion, considering the significant increment in damping properties for the “one-step”  
 292 manufacturing procedure, this configuration was selected for the impact test sample manufacturing.

## 2.4. Samples Manufacturing

The material used to fabricate the CFRP samples was a carbon fibres pre-preg (CYCOM 977-2 thermoset epoxy system). A cross ply staking sequence of 11 plies ( $[0/90/0/90/0/90]_s$ ) was used during the layup procedure where each ply was cut at 150 mm x 100 mm in size. Prior to the autoclave process, the uncured CFRP was coated via direct application of the TPU layer and a metal plate was used as mould to obtain a good adhesion between the two materials. Images of the manufactured samples are reported in Figure 6.

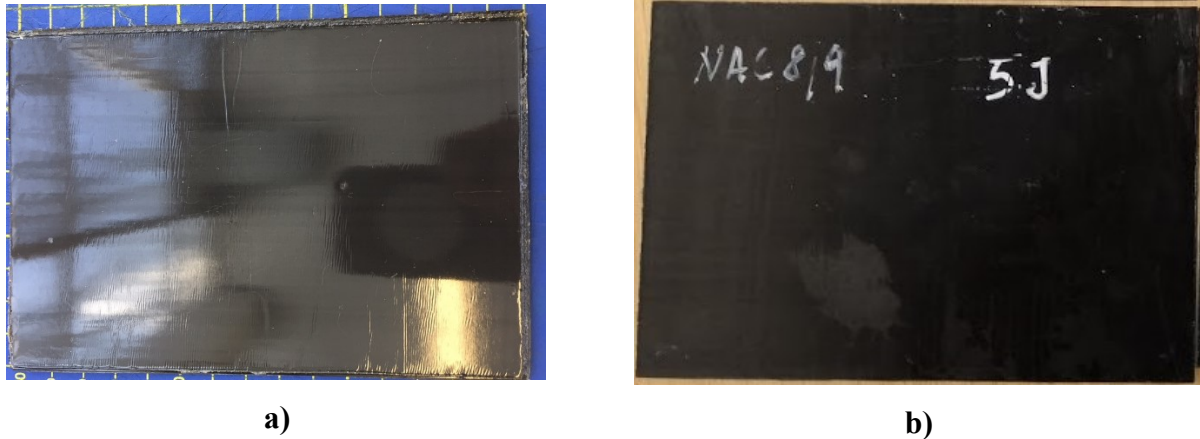


Figure 6-Standard impact plates used during experimental campaign: a) TPU-coated CFRP and b) traditional CFRP

The average thickness of the laminates was 2.00 mm (CFRP) and 2.8 mm (TPU/CFRP). A sketch of the sample layup is reported in Figure 7.

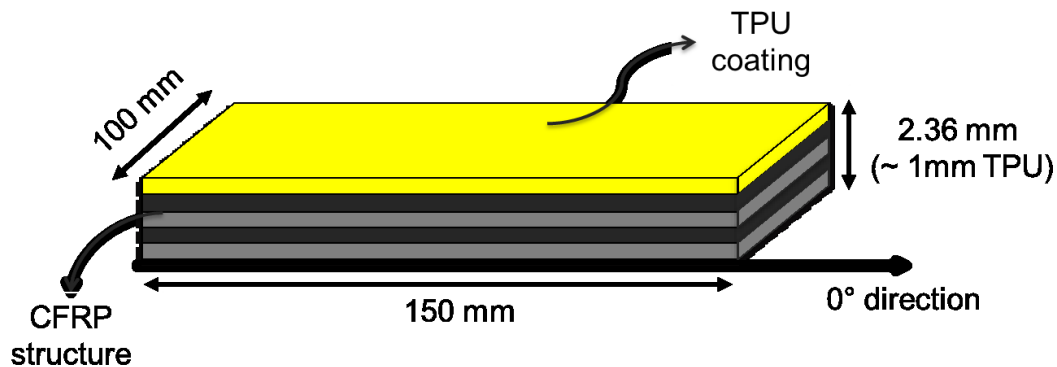


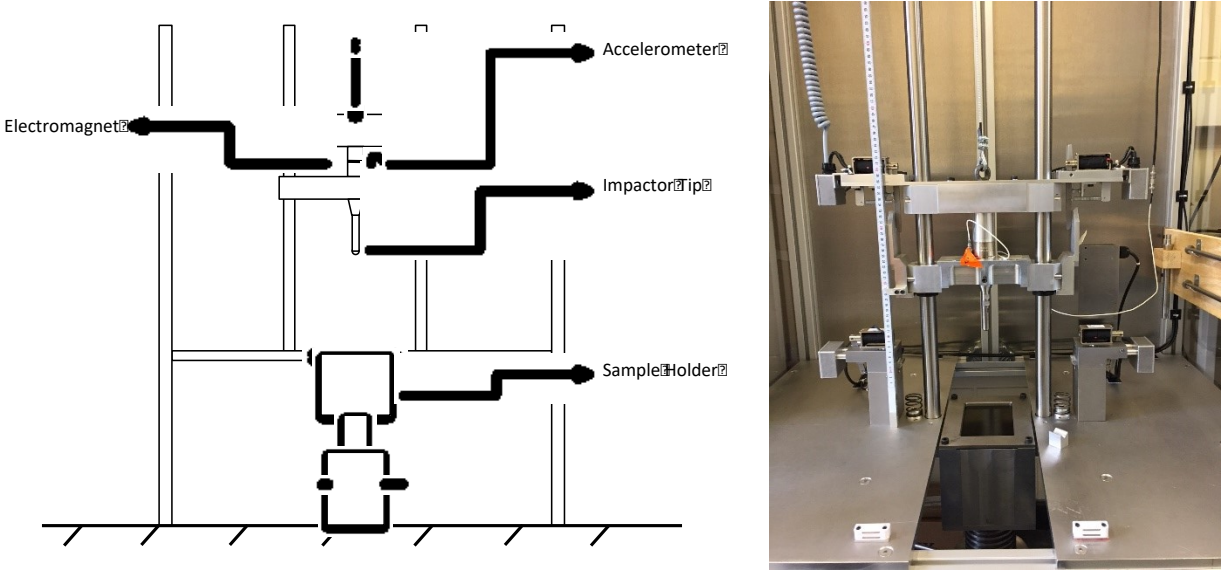
Figure 7- Sketch of TPU-coated CFRP plates used during impact campaign

## 3. Low Velocity Impact Test

### 3.1. Experimental setup – LVI tests

Impact tests were carried out on TPU/CFRP samples and compared with traditional laminates to investigate the effects of the TPU layer on the impact properties of the composite structure. Three

310 different energy levels (2J, 3J and 5J) were used to simulate the minimum conditions to generate a  
 311 flying ballast BVID in a CFRP structure as specified by the European Regulatory Framework. The  
 312 samples were placed into the impact machine (2.66 kg shuttle weight) using a dedicated clamping  
 313 support to apply the appropriate boundary conditions in order to avoid undesired vibrations.  
 314 Impact data were collected using a Kistler Accelerometer and raw signals (Time-Volt) were  
 315 converted into Force-Displacement curves following BS EN ISO6603-1:2000 and BS EN ISO6603-  
 316 2:2001 standards. The impactor rig scheme and apparatus are illustrated in Figure 8. All the samples  
 317 were impacted following the same procedure with TPU samples being impacted with the polymer  
 318 layer facing the impactor tip.  
 319



320 **Figure 8-Impactor Rig scheme and detail**  
 321

### 322 3.2. Experimental setup – Phased-Array scan and CT scan

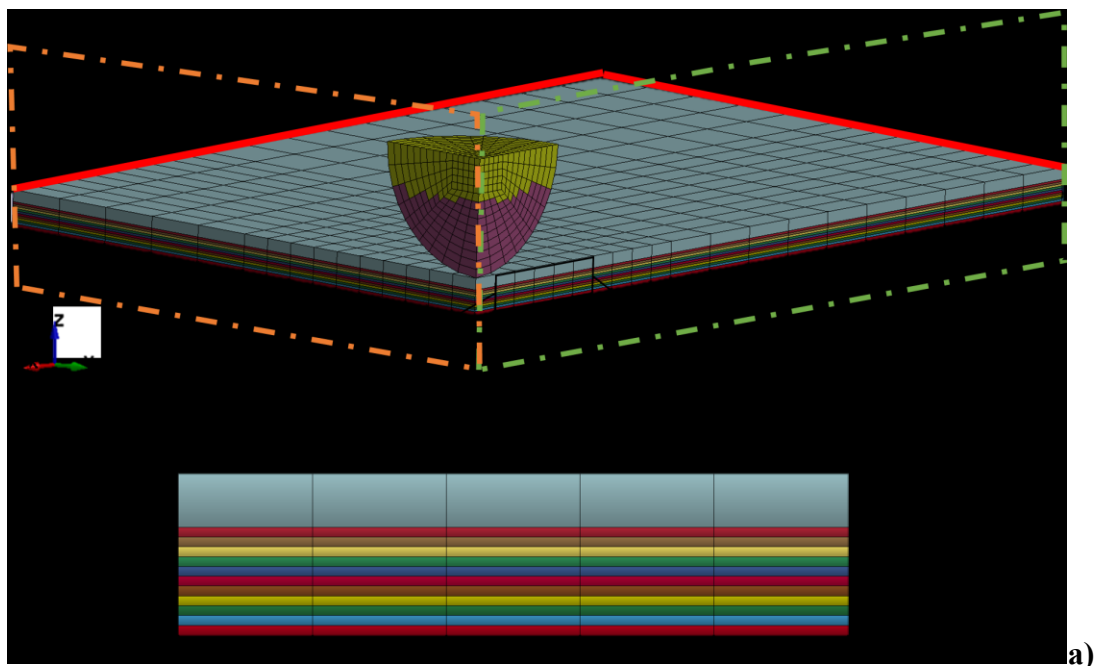
323 The planar extension (2D) of the internal damage was investigated using a 5MHz Phased-Array  
 324 Transducer at 128 Channels (National Instrument, Austin, CA). The beam length of each transducer  
 325 corresponds to 0.58 mm in the real scale of the sample and the images were collected with a fixed  
 326 width size of 225 pixels. A digital-real scale conversion was, then, possible through direct correlation  
 327 between the full length of 128 transducers (real dimension) and the relative pixels collected (digital).  
 328 Images displayed the in-plane amplitude variation in a 16-bit colour-map scale from which damaged  
 329 areas can be identified. The colour scale used was between 0 and 40 V where the colour red was the  
 330 maximum value and the colour white the minimum.  
 331

332 Volumetric (3D) images of internal damaged areas were collected using a Computed Tomography  
333 (CT) Scan (Nikon XT H 225, Tokyo, Japan) to confirm the results obtained with the Phased-Array  
334 and to further analyse the impact damage typology in three dimensions. Images were collected for  
335 the entire volume of the samples and then elaborated to show the fit view of the damaged areas.

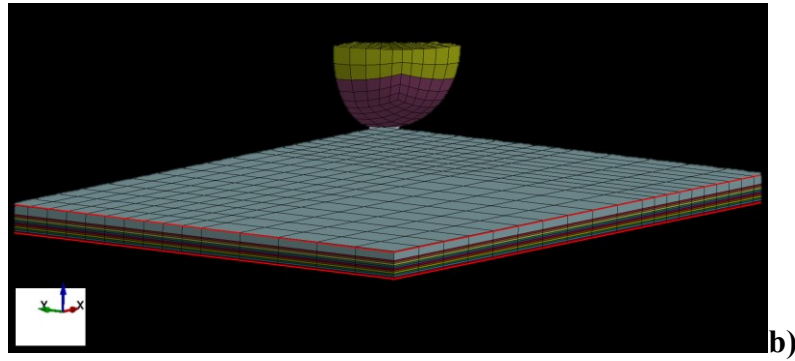
### 336 3.3. Experimental setup – Numerical model

337 The testing required for the validation of a specific design in terms of safety and reliability is both  
338 expensive and time consuming due to the entity and complexity of the process also due to the wide  
339 range of design parameters that need to be taken into account during the development of new material  
340 solutions to manufacture primary structural components.

341 Finite Element (FE) modelling is one of the most powerful tools used by modern industry to reduce  
342 the number of experimental tests that are required to validate a certain design, leading to a consequent  
343 reduction in costs and process time. Indeed, after its validation, the use of numerical model allows to  
344 optimise critical design parameters (weight, size and geometry) that advanced sectors demand for the  
345 application of TPU/CFRP. Based on this premise and on the necessity to develop an accurate design  
346 and an optimisation tool for a future use of TPU/CFRP laminates in real commercial parts, a three  
347 dimensional (3D) explicit Finite Element Model (FEM) was implemented using LS-DYNA. The  
348 computational FE mesh is reported in Figure 9 where in the side view, it is possible to see the presence  
349 of TPU (top layer) as coating for the CFRP laminate.  
350







**Figure 9-FE mesh used in the simulated LVI: a) front-isometric and lateral view with detail of the TPU coating and CFRP plate where symmetric planes and boundary conditions are reported and b) back-isometric view of the boundary conditions of the plate**

The impactor body was simulated as a hemispherical body of 20 mm diameter, a mass of 2.66 kg and 3D brick elements using an ELASTIC isotropic material card ( $E=210$  GPa and  $\nu=0.3$ ). The material was assumed elastic (no plastic deformation) since impact velocity is very low (1.93m/s for 5J case) and no plastic deformation was observed on the impacting tip during the experimental case. Parameters used during the analyses are reported in Table 2.

MATERIAL	RO (Kg/m <sup>3</sup> )	E11 (GPa)	E22 (GPa)	E33 (GPa)	PR21	PR31	PR32	G12 (GPa)	G23 (GPa)	G31 (GPa)	X1t (MPa)	X1c (MPa)
CFRP	1530	110	4.9	4.9	0.0535	0.0535	0.449	4	4	4	1500	950
MATERIAL	X2t (MPa)	X2c (MPa)	S12 (MPa)	S23 (MPa)	S31 (MPa)	ENKINK (J/m <sup>2</sup> )	ENA (J/m <sup>2</sup> )	ENB (J/m <sup>2</sup> )	ENT (J/m <sup>2</sup> )	ENL (J/m <sup>2</sup> )		
CFRP	70	200	80	80	80	75100	50100	478	900	900		

**Table 2-MAT\_261 orthotropic material card paramters:RO: density, modulus of elasticity (E11, E22, E33), poisson's ratios (PR12 ,PR31, PR32), shear modulus (G12, G23, G31), normal and transverse strenght under traction and compression (X1t, X1c, X2t, X2c), shear strenght (S12, S23, S31), compresive fibre failure energy (ENKINK), tensile fibre failure energy (ENA), Intralaminar matrix tensile energy failure (ENB), Intralaminar matrix trasnverse shear energy failure (ENT) and Intralaminar matrix longitudinal shear energy failure (ENL).**

A constant stress element formulation was used to model the 3D brick elements with an average in-plane size of 2.5mm. Each ply was modelled using a single 0.18mm thick element layer. The material characteristics of the composite plate (150 mm x100 mm x 3 mm in size) was defined using a MAT\_261 (LAMINATED\_FRACTURE\_DAIMLER\_PINHO) orthotropic material implementing a progressive damage model considering non-linear in-plane shear behaviour (32). The definition of angles and stresses in fracture plane are reported in Figure 10



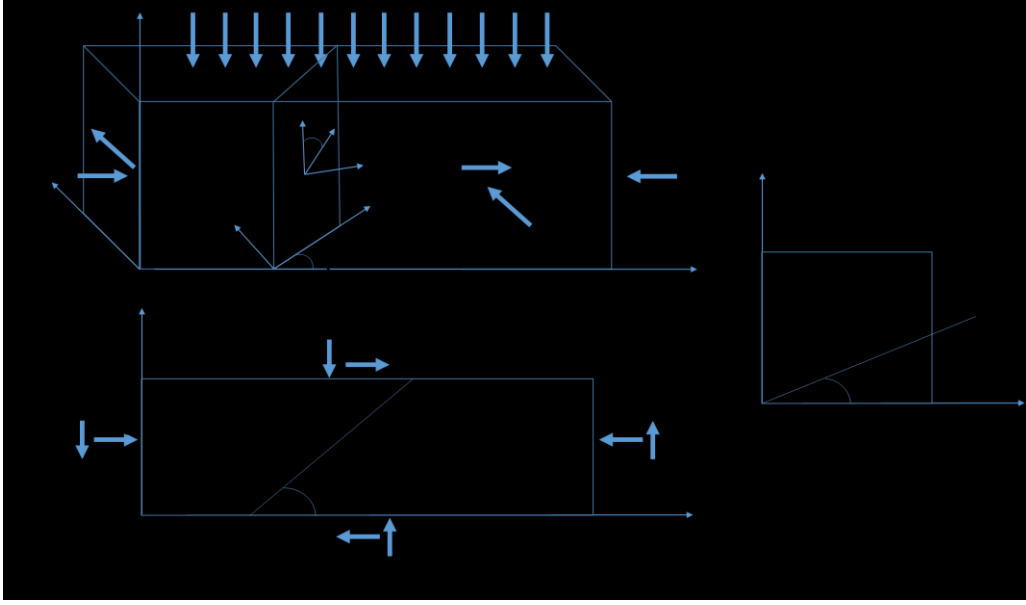


Figure 10- In fracture plane stresses and angles definition (29)

The damage initiation for longitudinal and intralaminar failure was calculated using the stress state for each time step and the relative material strength parameters. Failure criteria are defined as:

Logitudinal (fiber) tension

$$\frac{\sigma_a}{X_{1t}} = 1 \quad (2)$$

Longitudinal (matrix) failure:transverse tension (if  $\sigma_n > 0$ )

$$\left(\frac{\sigma_n}{X_{2t}}\right)^2 + \left(\frac{\tau_T}{S_T}\right)^2 + \left(\frac{\tau_L}{S_{12}}\right)^2 = 1 \quad (3)$$

Longitudinal (matrix) failure:transverse compression/shear (if  $\sigma_n < 0$ )

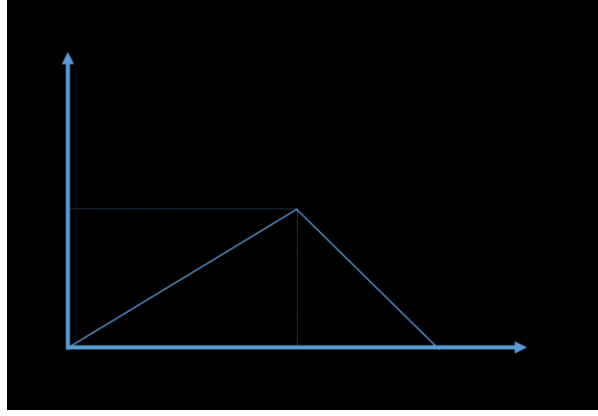
$$\left(\frac{\tau_T}{S_T - \mu_T \sigma_n}\right)^2 + \left(\frac{\tau_L}{S_L - \mu_L \sigma_n}\right)^2 = 1 \quad (4)$$

With

$$\begin{aligned} \sigma_n &= \frac{\sigma_b + \sigma_c}{2} + \frac{\sigma_b - \sigma_c}{2} \cos(2\Phi) + \tau_{bc} \sin(2\Phi) \\ \tau_T &= -\frac{\sigma_b - \sigma_c}{2} \sin(2\Phi) + \tau_{bc} \cos(2\Phi) \\ \tau_L &= \tau_{ab} \cos(\Phi) + \tau_{ca} \sin(\Phi) \\ S_T &= \frac{X_{2c}}{2 \tan(\Phi_0)} \\ \mu_T &= -\frac{1}{\tan(2\Phi_0)} \\ \mu_L &= -S_{12} \frac{\mu_T}{S_T} \end{aligned} \quad (5)$$

$$\mu_L = -S_{12} \frac{\mu_T}{S_T}$$

376 considering  $\Phi_0$  as the fracture angle ( $53^\circ$ ). Longitudinal compression failure criterion definition can  
 377 be found in literature (29). In Figure 11, the damage evolution law for MAT\_261 is reported for each  
 378 failure criterion.



379 **Figure 11-damage evolution law for MAT\_261 (29)**

380 When the stress state is within the criteria values, the material behaves as elastic orthotropic. When,  
 381 instead, one of the criteria is met, the stress is reduced by a coefficient of  $(1-d_j)$  where  $d_j$  is one of the  
 382 damage function of the different failure modes, showing a fracture-based linear damage evolution  
 383 with fracture toughness (one for each failure criterion) as critical value (C). Each damage function is  
 384 normalised for the element characteristic length X (see Figure 11) to have consistency between  
 385 different element sizes. Element erosion was, then, evaluated for each element that satisfies one of  
 386 these fracture criteria. All the equations on damage initiation and fracture criteria were reported in  
 387 the MAT\_261 card section of the material manual (33). In order to predict the delamination  
 388 component during the dynamic event and take into account the interlaminar properties of laminated  
 389 material, a TIEBREAK\_CONTACT (OPTION 6) was implemented between each layer of the hybrid  
 390 structure. This particular contact (34) couples together the nodes of two adjacent plies until failure  
 391 initiation, defined by interlaminar interface toughness  $G_{IC}$  ( $230 \text{ J/m}^2$ ) and  $G_{IIC}$  ( $650 \text{ J/m}^2$ ) and the  
 392 relative normal ( $\sigma_f = 4.6 \text{ MPa}$ ) and shear ( $\tau_f = 13 \text{ MPa}$ ) stresses. The tiebreak failure criterion is  
 393 defined using the normal and shear components of the stress state at a certain time step:

$$\left( \frac{|\sigma_n|}{\sigma_f} \right)^2 + \left( \frac{|\tau_n|}{\tau_f} \right)^2 \geq 1$$

394 Where  $\sigma_n$  and  $\tau_n$  are the normal and shear stress.”

395 Afterward the damage was scaled in function of the inter-laminar distance until complete interface  
 396 separation that occurs when the distance between the plies reaches its critical value (0.1mm).

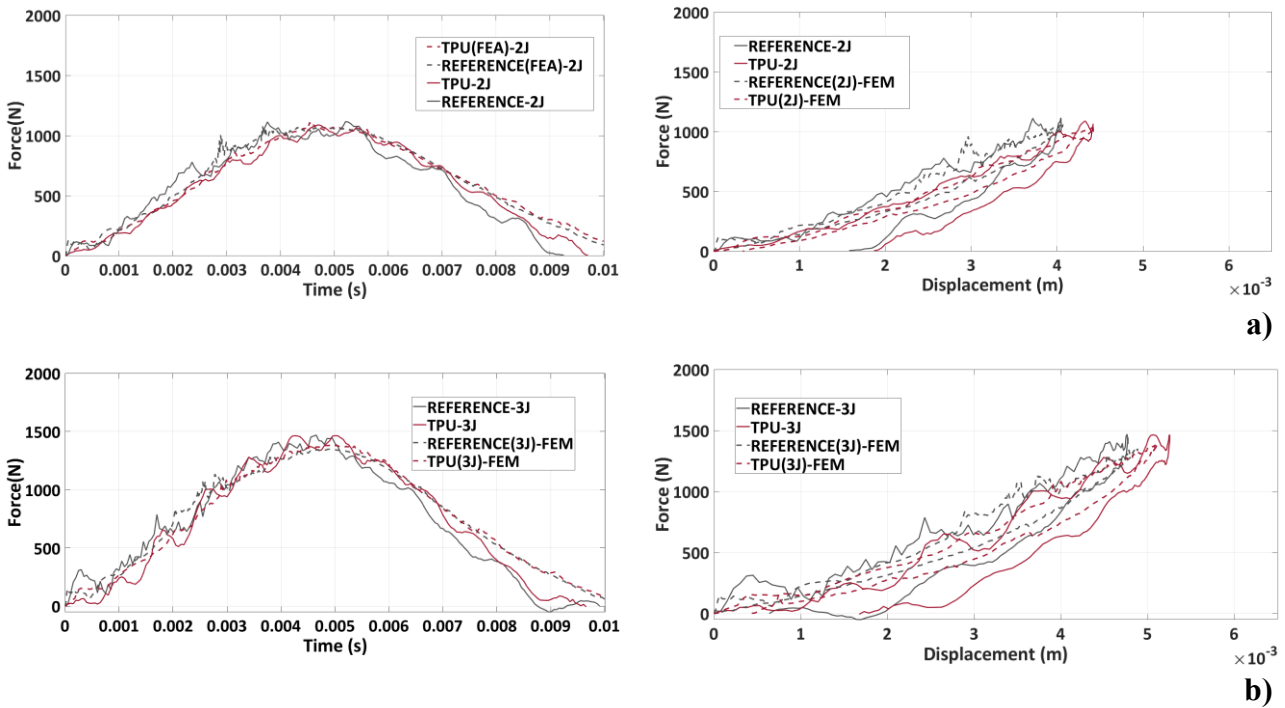
Then, the contact is converted into a traditional PENALTY\_BASED contact. The TPU coating material was simulated using an isotropic VISCOELASTIC material card (35,36) and all the used elastic parameters were defined considering the results obtained from DMA tests and data fitting with experimental results. The viscoelastic material properties are defined by the equation 7:

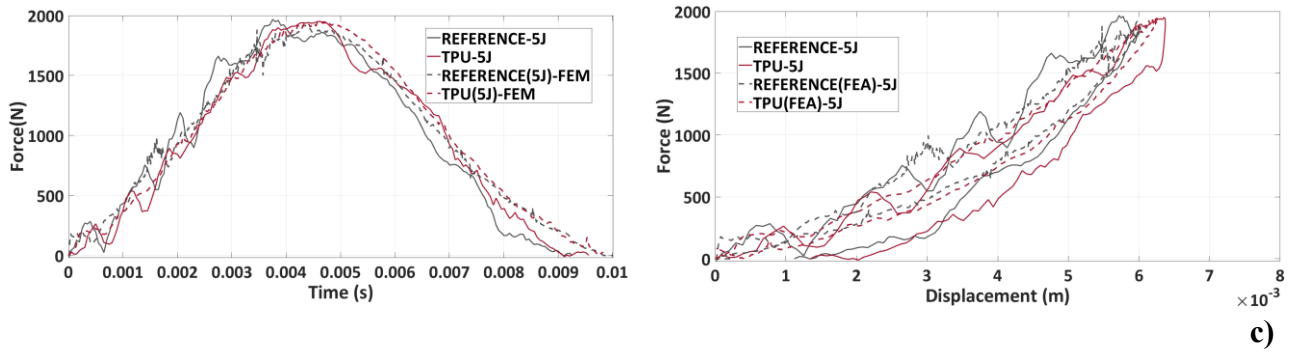
$$G(t) = G_{\infty} + (G_0 - G_{\infty})e^{-\beta t} \quad (7)$$

where  $G_0$  represents the short-time shear modulus ( $\sim 6.4$  GPa),  $G_{\infty}$  the long-time shear modulus (4.4 GPa),  $\beta$  the decay constant ( $\sim 1$ ) and  $t$  the time of observation. This material was chosen since no relevant permanent deformation are found on the real TPU layer after the impact event and therefore the viscoelastic behaviour for the involved impact energies can be assumed without plastic effects. Boundary conditions of mesh model are applied by constraining all displacements along the z-direction of the external lateral edges of the plate. Interaction between impactor body and TPU/CFRP surfaces is modelled using PENALTY\_BASED contact for which the erosion of elements with negative volume is allowed to avoid numerical issues. All the materials parameters used in the numerical model are reported in Table 2.

### 3.4. Results and Discussion

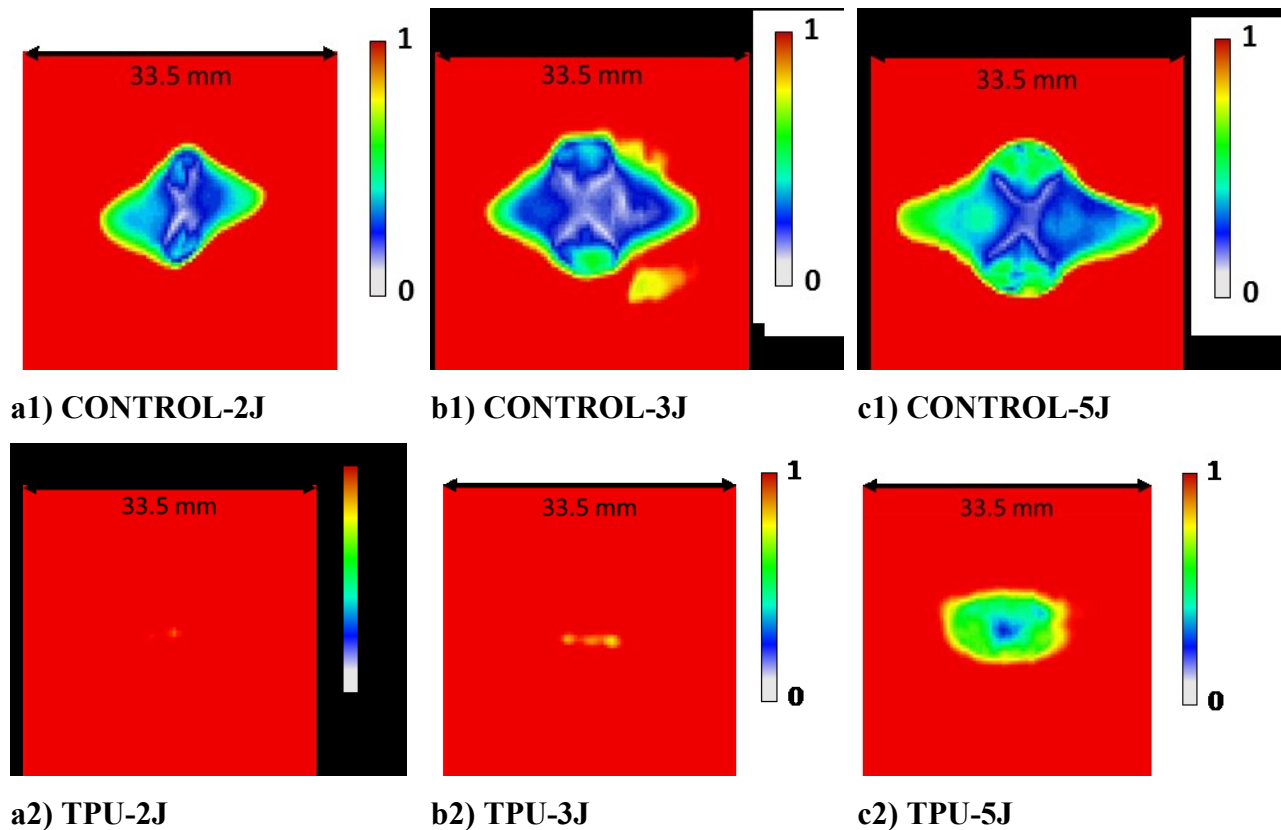
Output data obtained from the impact campaign are reported in Figure 10 in which Force-Time and Force-Displacement curves and their comparison are illustrated.





**Figure 10- Impact results curves obtained from impact tests on Control and TPU samples for different levels of energy: a) 2J, b) 3J and c) 5J. Force-time and Force-Displacement curves reported.**

The extent of the internal damage was evaluated using Phased array scans (Figure 11) from which a statistical analysis was carried out to demonstrate the consistency of damage extension results. Similarly, to understand the typology and topology of the damage inside the laminate's structure and to assist Phased-Array results, CT-scan images were examined. (Figure 12)



**Figure 11-Phased-Array scans from the impacted samples: a1,b1,c1) control samples impacted at 2J,3J and 5J, , b1,b2,c2) TPU-coated samples impacted at 2J,3J and 5J. Images are collected from the external surface, far from the impacted one. The colour scale represents the normalised amplitude.**

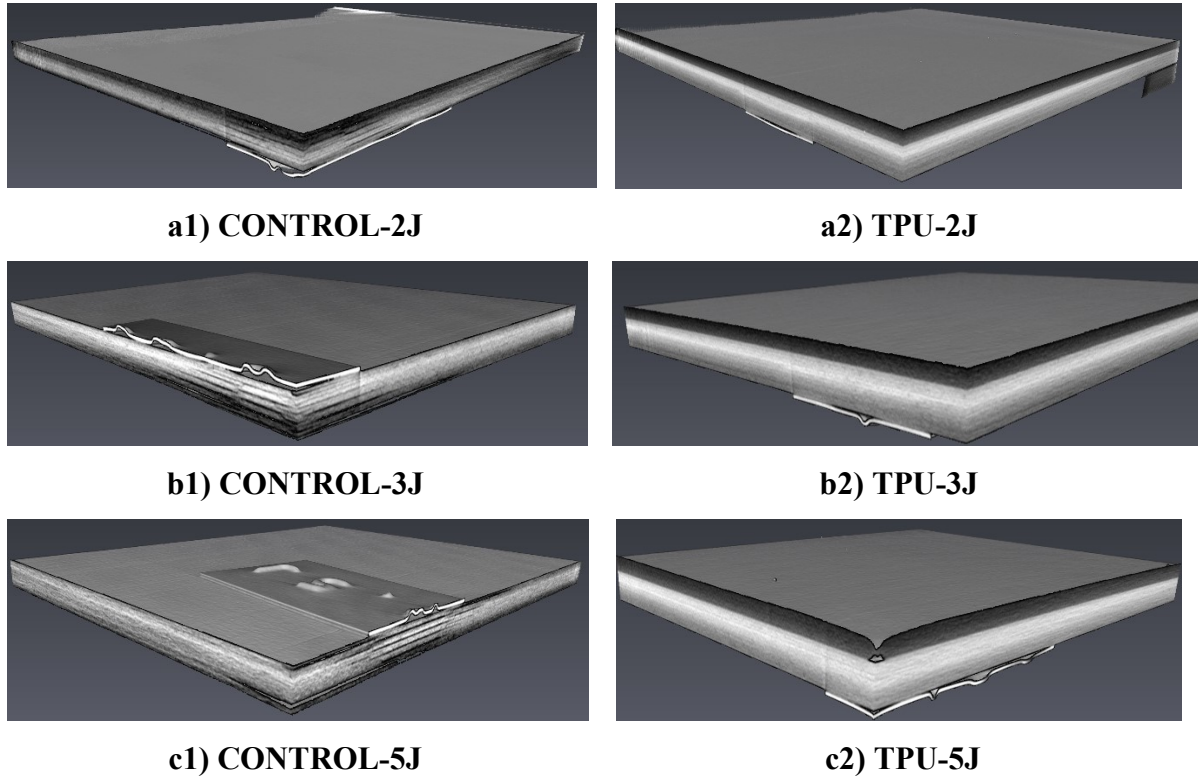
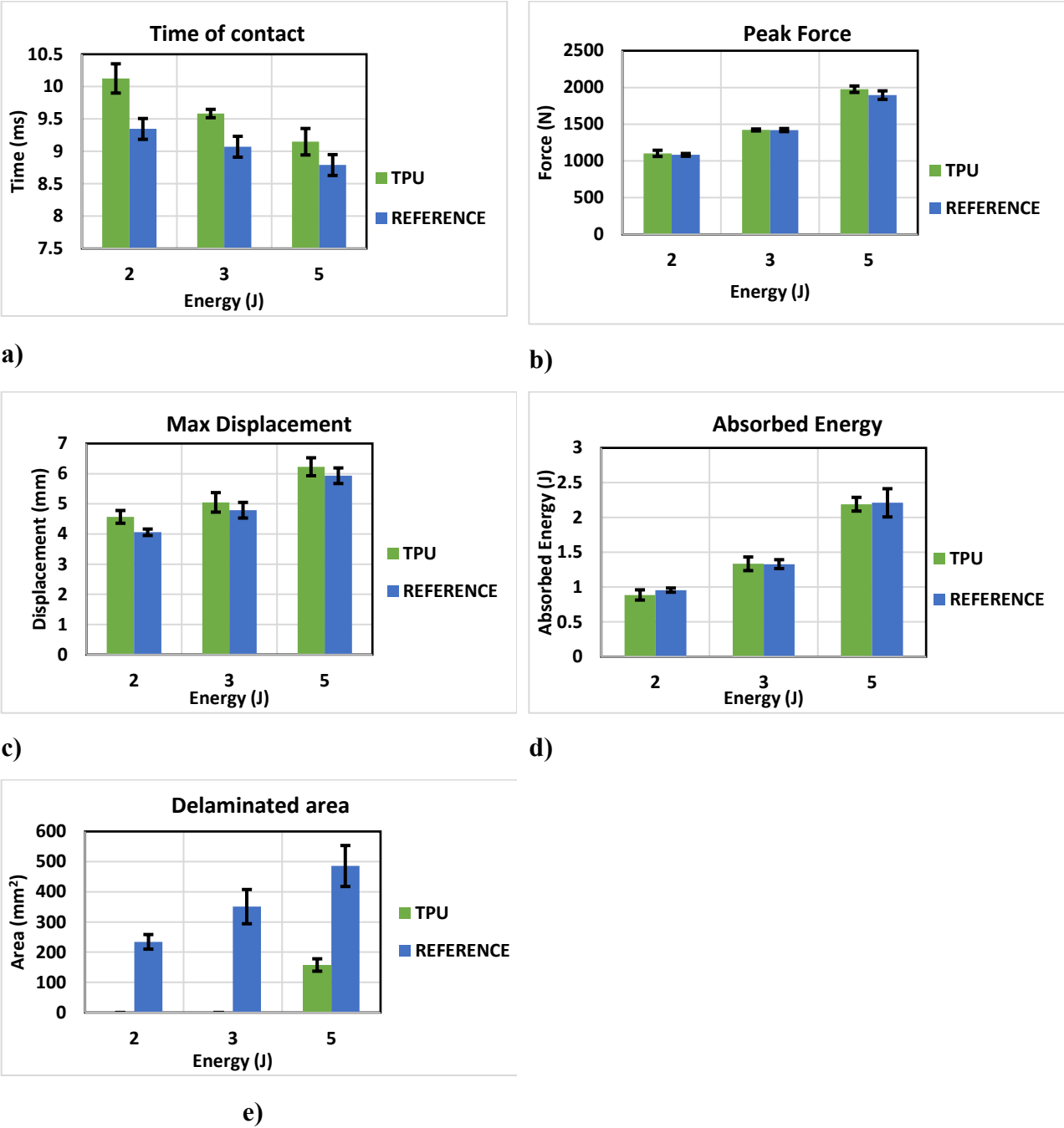


Figure 12- CT scan damage detection technique from the impacted samples: a1,b1,c1) control samples impacted at 2J,3J and 5J, , b1,b2,c2) TPU-coated samples impacted at 2J,3J and 5J.

Statistical data with mean values and standard deviations for each energy level and configuration are reported and compared in Table 3 and Figure 13.

REFERENCE					
Energy (J)	Time of Contact (ms)	Peak Force (N)	Max Displacement (mm)	Absorbed Energy (J)	Delaminated Area (mm <sup>2</sup> )
2	$9.34 \pm 0.188$	$1083 \pm 18$	$4.05 \pm 0.10$	$0.95 \pm 0.02$	$234.2 \pm 24.1$
3	$9.07 \pm 0.33$	$1420 \pm 20$	$4.78 \pm 0.26$	$1.32 \pm 0.06$	$350.9 \pm 2.6$
5	$8.78 \pm 0.33$	$1895 \pm 57$	$5.93 \pm 0.26$	$2.21 \pm 0.20$	$485.5 \pm 67.9$
TPU					
Energy (J)	Time of Contact (ms)	Peak Force (N)	Max Displacement (mm)	Absorbed Energy (J)	Delaminated Area (mm <sup>2</sup> )
2	$10.12 \pm 0.22$	$1103 \pm 42$	$4.56 \pm 0.21$	$0.88 \pm 0.07$	0
3	$9.58 \pm 0.06$	$1421 \pm 13$	$5.04 \pm 0.32$	$1.33 \pm 0.09$	0
5	$9.14 \pm 0.20$	$1976 \pm 43$	$6.22 \pm 0.29$	$2.19 \pm 0.09$	$157.4 \pm 20.5$

431 Table 3-Statistical data from impact campaign. 2J, 3J and 5J are the impact energies and the impact parameters  
 432 of Time of Contact, Peak Force, Maximum Displacement, Absorbed Energy and Delaminated area are reported.  
 433



434 Figure 13- Statistical data charts on impacted data for 2J, 3J and 5J impacts. Mean values for each impact  
 435 parameters and respective standard deviations are reported in the column chart for control and TPU sets: a)  
 436 time of contact, b) maximum contact force, c) maximum displacement, d) absorbed energy and e) damaged area  
 437 extension measured from C-scan images. TPU samples have no structural damage within the CFRP portion and  
 438 the reported values correspond to the TPU-CFRP interface separation.

439

440 As predicted in literature (37), the impact behaviour of both configurations changes according to the

441 impact energy. In particular, for TPU/CFRP samples, maximum displacement and time of contact (in

442 comparison with uncoated samples) increase by +8.34% and +12.58% for 2J, +5.66% and +5.44%

443 for 3J and, +4.10% and 5.01% for 5J, (see Figure 13.c and Figure 13.a), reporting that the increase in  
444 displacement between TPU coated and control samples tends to decrease for higher energetic impacts  
445 due to the viscoelastic effect of TPU layer (38). The presence of TPU has reduced influence on force  
446 peak values (Figure 13.b) with minimal variations in terms of impact response for the different energy  
447 levels (see Figure 10). The percentage variation in comparison with the reference is +1.85% for 2J,  
448 +0.08% for 3J and +4.26% for 5J.

449 Another important results is shown from Figure 13.d, where a similar absorbed energy is reported for  
450 both tested configurations (see Figure 13.e), suggesting that the presence of the TPU coating enables  
451 different energy absorption mechanisms. As a consequence, it is important to correlate the  
452 information obtained with the LVIs tests with the internal integrity status of post-impact samples.  
453 Phased-Array images of control samples (Figure 11) indicate widespread damaged areas with damage  
454 extension increasing with impact energy. In contrast, TPU/CFRP samples show no signs of defects  
455 within the laminate, with the exception of the samples impacted at 5J, where a small damage can be  
456 seen in correspondence with the indentation point area. The variations in terms of damaged areas  
457 between TPU-coated and traditional CFRP samples are -100% for 2J (undamaged), -100% for 3J  
458 (undamaged) and -67.5% for 5J. CT scan images of the control samples (Figure 12) show wide  
459 damaged areas that can be clearly identified as a typical reversed pine-tree propagation shape  
460 characteristic of matrix failure, whose severity and extension increase with the increase of impact  
461 energy, confirming the results shown for Phased-array images. On the contrary, CT scan images of  
462 TPU-coated samples show no signs of damage in the entire body of laminate for all impact energies,  
463 in apparent contrast with what observed with the Phased-Array scans for the 5J samples (Figure  
464 11.c2). The difference between the outputs of the two tests was found to be due to the presence of a  
465 small area within the TPU layer showing signs of interface separation between TPU and CFRP that  
466 was indistinguishable from a structural damage from the Phased-Array images. Consequently, TPU  
467 coated samples impacted at 5J do not present any sign of structural damage in the CFRP portion since  
468 the damaged area detected in the Phased-Array images corresponds to the TPU interface separation.

469 By comparing the experimental results with the output of the numerical model, it is possible to  
470 observe that the model is able to predict the damage-suppression ability of the TPU coating, as  
471 reported in the comparison graphs in Figure 10. In particular, the numerical curves for traditional  
472 CFRP laminate show load drops similar to the ones observed experimentally (around 3ms for 2 and  
473 3 J, and around 3ms and 4.5ms for 5J), while numerical simulation of the TPU-coated samples show  
474 no damage, also confirming the experimental results. An error of +2% and -0.7% for 2J for uncoated  
475 laminates and +8% and +3% for the TPU/CFRP is found in terms of maximum contact force and  
476 maximum displacement between experimental and numerical results, showing a good accuracy in

predicting the impact output data for both traditional and TPU/CFRP. Similarly, considering 3J impacts, the overall for maximum contact force and maximum displacement is around the same values detected for the 2J impact case with +5% and -0.2% respectively for the reference samples and +2% and -0.1% for the TPU/CFRP ones. 5J impact case, as well, shows differences in the terms of maximum force and maximum displacement similar to the two previous cases, reporting -1% and -4% for the reference configuration and +1% and +0.3% for the hybrid case. Thus, the results show a good correlation between experimental data and the numerical model for values of stiffness, maximum displacement and maximum contact force, even if the force-displacement descendent curve portion presents a slight mismatch. These small variations can be the result of the formation of defects during the cure process that affect the numerical data for mechanical properties and the elastic energy release during the unloading phase of the impact event.

In order to explain the different behaviour of the TPU-coated CFRP, it is important to analyse the different mechanisms utilised to store or absorb energy by estimating the energy transfer from the impacting mass to the sample during the impact event (34,35,36). In general, for LVI impacts where no visible damage is reported, a total kinetic energy transfer ( $E_{total}$ ) takes place from the impactor's tip to the sample in correspondence with the contact point, and the energy is distributed into the laminate with different forms. One such form is the elastic energy contribution ( $E_{elastic}$ ), which represents the ability of the material to store energy via non-permanent geometrical deformation. This energy is transferred back to the penetrator after maximum displacement of the plate is reached. Another form is the energy absorbed ( $E_{absorbed}$ ) by the impacted object (39,41,42). Therefore, for the energy conservation balance it is possible to write:

$$E_{total} = E_{elastic} + E_{absorbed} \quad (8)$$

$E_{absorbed}$  can be further divided into three different contributions:  $E_{damage}$ , representing the energy required to generate damage inside the structure (e.g. fibre failure, matrix failure and delamination),  $E_{viscous}$  that is the energy absorbed via viscoelastic mechanisms (43) and  $E_{dissipation}$  which encompasses all other dissipation modes including heat, inelastic behaviour of components and all non-linear behaviours. Thus, the equation can be written as:

$$E_{total} = E_{elastic} + E_{damage} + E_{viscous} + E_{dissipation} \quad (9)$$

Considering the layered nature of CFRP, (44), in traditional laminates damage generation is the main cause of energy dissipation, due to the creation of new surfaces between two adjacent plies at different fibre orientations (i.e. delamination). For this reason, a notable amount of energy is absorbed in a laminated system and the  $E_{damage}$  term represents the highest contribution in equation (9).



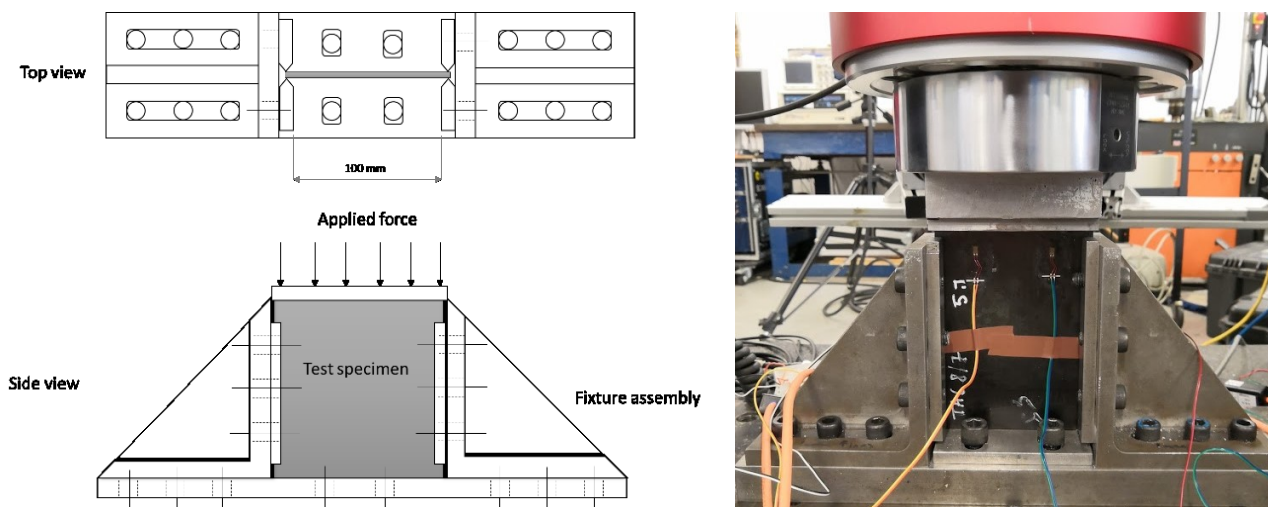
509 In contrast, using a TPU layer, an additional damage suppression ability is introduced into the CFRP  
 510 system due to the high strain at failure and damping ability of the polymer (45).  
 511 Indeed, since TPU is characterised by a lower stiffness and higher strain at failure, it is able to increase  
 512 the global elastic energy threshold that the material can tolerate before damage is generated. As a  
 513 consequence, a lower amount of energy is found into the  $E_{\text{damage}}$  term and consequently, less impact  
 514 energy is dissipated via the creations of defects.  
 515 In addition, the hybrid system is able to absorb a larger amount of impact energy via viscoelastic  
 516 losses of TPU layer. This allows to take into account more energy into the  $E_{\text{viscous}}$  term and lower the  
 517 weight of the  $E_{\text{damage}}$  term, meaning smaller damaged areas generated into the sample.  
 518 Therefore, it is possible to conclude that the coupled effects of increased elastic properties and  
 519 improved damping given by the TPU layer, allow the absorption of all the impact energy via these  
 520 two components, generating no damaged areas within the laminate's body.

#### 521 4. Compression-after-Impact (CAI)

522 In order to confirm the results obtained with the LVIs and the NDT analyses, post-impact samples  
 523 were subjected to a Compression-After-Impact (CAI) campaign to analyse the residual compressive  
 524 strength and prove the damage suppression ability of the TPU layer.

##### 525 4.1. Experimental setup

526 CAI tests were performed using an Instron Universal Machine 5585 in compressive mode following  
 527 the standard ISO 19352:2009. A rig of 150mm x 100 mm was used to constrain the sample and  
 528 guarantee a pure compressive load applied on the sample section. A schematisation of the used rig is  
 529 reported in Figure 14:  
 530



531 **Figure 14-Schematisation of CAI rig assembly**

Samples were stabilised at the edges by the fixture without constraining the in-plane transverse deformation. For all tested samples, four strain gauges were used in order to record the applied strain and ensure the parallelism to the lateral supports, flatness and exact positioning of the sample in the rig. During the tests, the force was recorded and elaborated using equation 10 to evaluate the residual compressive strength of each sample. Considering this equation,  $F_{CAI}$  is the peak force reached during the compression test while S is the cross-section area as stated in the standard test method.

$$\sigma_{CAI} = \frac{F_{CAI}}{S}. \quad (10)$$

#### 4.2. Results and discussion

CAI results are reported in **Error! Reference source not found.**Figure 15, where output values correspond to the residual compressive strength evaluated considering 100% as an undamaged CFRP structure.

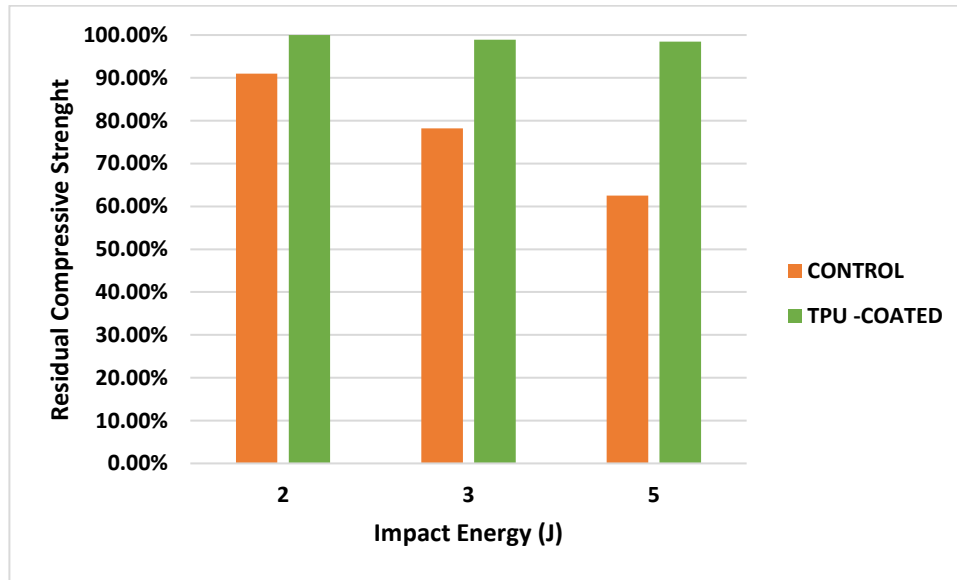


Figure 15- CAI results obtained from the test of samples impacted at 2J, 3J and 5J

Since TPU coated samples showed an asymmetrical geometry (TPU layer on one surface) during CAI failure, a small bending component was observed affecting the recorded compressive strength. This led to an oscillation in the residual compression strength for TPU-coated samples at different impact energies. In order to overcome this issue, TPU layer was carefully removed from the samples prior to the tests ensuring that no damage was created within the laminate during the operation using c-scan analyses.

As it is possible to see from the results, traditional CFRP samples show a significant reduction in terms of residual compressive strength that becomes more dramatic as the impact energy increases

553 (90.96% for 2J, 78.19% for 3J and 62.54% for 5J). TPU samples instead, show higher in-plane  
554 residual compressive properties due to the absence of damaged areas in the laminate body, confirming  
555 what observed from the previous tests.

556

## 557 **5. Conclusions**

558

559 The principal aim of this work was to investigate the improvement of the impact properties derived  
560 from the application of a layer of Thermoplastic Polyurethane blend as coating layer on the impact  
561 surface of CFRP laminates. This superficial layer was applied on the samples' surface during the  
562 lamination sequence prior to the curing process, using a "one-step" manufacturing process aimed at  
563 reducing costs and time in comparison with conventional coating procedures. An extensive study was  
564 carried out using Differential Scanning Calorimetry (DSC) and Dynamic Mechanical Analysis  
565 (DMA) to determine the optimal cure parameters for autoclave curing in function of the thermal  
566 properties of the polymer and the operative conditions in railways. Results showed TPU glass  
567 transition at -33°C and mechanical properties degradation at 150°C in range of frequency between  
568 1 and 80Hz that overestimates the real operative conditions of the material in railways. Damping tests  
569 were performed showing an increment of 113% and 387% in logarithm decrement for the TPU/CFRP  
570 manufactured with the "one-step" process in comparison with TPU glued on CFRP and traditional  
571 uncoated CFRP. An impact campaign was then carried out and the results indicated that traditional  
572 CFRP laminates show large internal damaged areas that become larger for higher impacts, while no  
573 sign of damage was found in the TPU coated laminates. This is attributed to the presence of TPU  
574 capable of storing elastic energy and dissipate higher amount of impact energy via viscoelastic losses,  
575 with no measurable damage (i.e. cracks and delamination). This results was confirmed by analysing  
576 the residual compressive strength of traditional and TPU-coated laminates, showing no variation in  
577 residual mechanical properties for TPU-coated CFRP since no damage was generated during LVI  
578 event. In contrast, traditional CFRP laminates showed a significant variation in residual strength (90%  
579 for 2J, 78% for 3J and 62% for 5J) in function of impact energy due to delamination. A numerical  
580 model was then developed to support the optimisation process of the TPU-coated CFRP for designing  
581 future advanced structural components where this material will be used. A good correlation between  
582 experimental and numerical results was found with a maximum error of 8% between experimental  
583 and numerical data, demonstrating that the developed numerical model is an excellent tool to predict  
584 the mechanical response of TPU/CFRP laminates.

585 In conclusion, the introduction of TPU as an additional reinforcement layer for damage suppression  
586 makes hybrid TPU/CFRP laminates a promising candidate for the development of a new generation  
587 of lightweight railways vehicles, able to withstand flying ballast-like events without the generation  
588 of BVIDs, enhancing safety and reliability of the entire rolling stock.

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595  
596

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